



DRAFT

MIL-STD/STANAG

Data Modem Primer

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NOTE: MIL-STD-188-110A and later serial tone data modem waveforms are **NOT** legal for Amateur Radio use under current FCC rules due to their greater than 300 baud symbol rate.

ABSTRACT

The purpose of this paper is to provide the MARS member with a better understanding of Serial Tone (ST) waveforms available in Military Standard (MIL-STD) HF Data Modems conforming to U.S. Military standard MIL-STD-188-110A^[1] (110A), MIL-STD-188-110B^[2] (110B) and soon MIL-STD-188-110C^[3] (110C) as well as Standard NATO (STANAG) S4285^[4], S4415^[5], S4529^[6] and S4539^[7] waveforms that many such modems also support.

The above referenced MIL-STD and STANAG documents provide specifications for each waveform by describing the on the air signaling used to transmit the digital data signal over a radio channel. Each waveform description in their respective standard includes a complete specification of the modulation used and the known symbols used for initial training (a.k.a. the preamble), that are sent to establish synchronization as well as any additional known symbols which may be inserted with the data (a.k.a. the payload) to aid in the demodulation process. Also included are the details of forward error correction coding, bit redundancy, bit interleaving as an integral part of the definition.

The ST waveform is widely used by all NATO forces for most HF digital communications and has been carried forward in MIL-STD-188-110C (110C). It is the ST waveform which has found the most favor with Military users over the last 20 plus years, out performing the 16 tone DPSK and 39 tone QDPSK waveforms to the point where the 16 tone is now officially obsolete in 110C. It is the ST waveform with its synchronous or asynchronous autobaud data transmission at speeds of 75bps and higher which is used today as the basis of a number of waveforms, from the basic MIL-STD-188-110A ST through the STD-188-110B Appendix C (S4539 being nearly identical) waveforms using Forward Error Correction (FEC). Being Autobaud waveforms, they are also popular for Automatic Request Query (ARQ) using FED-STD-1052 Appendix B Data Link Protocol (DLP)^[8] and STANAG 5066 Data Link Protocol (DLP)^[9], a.k.a. 5066-ARQ as detailed in MIL-STD-188-110B Appendix E.

In recent years, there has been a growing need for higher data rates by the Military than the MIL-STD-188-110A 75bps through 2400bps coded and 4800bps with no FEC or interleaving (unencoded) which has led to MIL-STD-188-110C (110C). In 110C a new family of wideband waveforms are defined that extend the high performance serial tone modem technology beyond the 9600bps coded limit using a single 3Khz channel per 110B to wider bandwidths and much higher data rates. These new waveforms can occupy bandwidths from 3Khz up to 24Khz in increments of 3Khz channels while providing user data rates up to 120,000bps coded throughput with multiple HF Independent Sideband (ISB) channels. A single 3Khz channel will support ST data rates through 9600bps, whereas an ISB channel (6Khz) doubles that throughput and a 4ISB channel (24Khz) achieves 120,000bps coded throughput. This increase in throughput with each additional 3Khz channel can be thought of as being similar to Orthogonal frequency-division multiplexing (OFDM) in that each channel represents an additional PSK carrier of modulation. MARS however is limited to an SSB channel (3Khz) and 9600bps at present, as more MARS members move to SDR transceivers perhaps at some point we can start using ISB and Left and Right Channel audio to double our throughput.

MARS members for the most part, when it comes to MIL-STD ST hardware modems have surplus 110A modems, some also have 110B hardware modems, most if not all have only dumb terminal software for FEC use of their modems. However the bulk of the MARS membership shall have to rely on PC Sound Device based software solutions, such as MARS-ALE and its MIL-STD-188-110A support and the MARS M110A Data Modem Terminal software. In addition a Portable Virtual Software Modem is planned which will lead to additional software Client and

Server application development to include ARQ in support of MARS down the road. Thus the software MIL-STD 110A modem and FEC operation will amount to the bulk of MARS interoperability capability with MARS customers at first.

INTRODUCTION

For MARS members unfamiliar with the subject, this paper will put MIL-STD Data Modems and the protocols they provide into perspective. The basic use of MIL-STD modems are for peer-to-peer and broadcast communications using Forward Error Correction (FEC) and Interleaving to combat the effects of channel Fading, Doppler spread, Multipath and Noise burst. This use of the ST waveform is similar to PACTOR FEC or MT-63 use in MARS.

HF transmission/reception is subject to varying levels and types of noise and interference which cause data errors. MIL-STD HF waveforms use coding and redundancy techniques that allow the receiving modem to recover the original data in the case of errors caused by hits and fading throughout the HF path. The ST waveform FEC adds redundant data into the data stream to allow the demodulator to detect and correct errors. If errors are detected, the FEC accurately reproduces the data without notifying the data sender that there was a problem. The FEC coding technique is most effective if errors occur randomly in a data stream. However, errors usually occur in bursts on HF, where during a given period of time there is a high Bit Error Rate (BER) on the channel along with periods of low BER. To enhance FEC performance a process called interleaving used to spread the data about during transmission to randomize the errors that occur during the high BER periods. ZERO, SHORT and LONG interleaving is provided where LONG interleaving spreads the data about more and thus provides more robust operation, while adding more time to the data transmission, SHORT is quicker and ZERO is the quickest for a given coded data rate.

When using 110A in FEC applications vs. Adaptive ARQ applications, it is all up to the user to select the proper data rate based on the channel conditions for reliable broadcast or two-way communications. Just as with any other FEC protocol when no ARQ is being used, select a data rate with any FEC protocol that is too high to support channel conditions and the results will be poor. The user must also consider the RX station(s) being targeted at the time, is there one or many, are the many within NVIS or are some on the edge or outside NVIS range on a NVIS range frequency or are we talking Skyware, is your station cable of 100w or higher power levels or is it running low power and not able to provide a strong enough signal to meet the required SNR for AWGN channel conditions at the data rate selected. For a correlation to data rates and SNR required for channel conditions see Appendix A of this document. The use of LONG interleave is likely the best choice for all channel conditions, the use of SHORT will speed throughput but for FEC only applications is should only be used under the best channel conditions. You can't go wrong with 75bps if your sound device has the required low sample clock error, however it is slow. Above 75bps you must consider the channel conditions as to SNR under AWGN channel conditions for just FEC use of the modem.

When an FEC waveform such as MIL-STD-188-110A (110A) is coupled with a Data Link Protocol (DLP) via a controlling software application the modem provides for Station Identification (Callsign Exchange), Link Creation and Adaptive Automatic Request Query (ARQ) communications. DLP layers are heavily used for Client/Server radio-to-radio communications networks for HF e-mail using ARQ such as with STANAG 5066 networks which can be thought of as being an HF radio based version of the Internet as they can be configured to support e-mail, HTML, FTP and more, using many of the same standards or standards similar to those used via the Internet, such as RFC 821, "SIMPLE MAIL TRANSFER PROTOCOL". This use of the ST waveform is similar to PACTOR ARQ or WINMOR use in MARS.

MIL-STD modems can be used stand alone or in conjunction with Automatic Link Establishment (ALE). ALE/110A/DLP combined, can be used as an Automatic Link Maintenance (ALM) system configuration, which is the case with STANAG 5066. Link maintenance involves the

automatic selection of new channel parameters, to include some or all of: frequency, waveform modulation, and bandwidth. This may be initiated from either side of the link as conditions degrade, or may be automatically scheduled to occur after a specified time period of channel use. ALM provides for faster link establishment, linking at lower SNR (estimated 8-10dB improvement in Additive White Gaussian Noise (AWGN) and fading channels), improved channel efficiency leading to higher DLP throughput for short and long messages in HF e-mail networks.

In addition to data, MIL-STD modems using STANAG 4285 and other waveforms at 600, 1200 and 2400bps data rates are commonly used for Digital Voice communications per LPC-10 (STANAG 4198), LPC-10e (FED-STD-1015), MELP (MIL-STD-3005) and MELPe (STANAG 4591) standards. Such operation in the Military usually involves Secure Voice (STANAG 4197) using a Crypto terminal. For U.S. Government and NATO applications of MELP/MELPe, the Interlectual Property (IP) licensing royalties were waived by the IP holders, the same would be required before any thought of MELP/MELPe implementation using a software modem for MARS.

In the MIL-STD hardware modem world, 110A/110B modems mostly exist as external hardware units similar to an Amateur Radio TNC. They can also exist internal to an ALE transceiver, such as the Harris AN/PRC-150(C) which provides ALE, AQC-ALE, 110B and FS-1052B DLP for ARQ. MARS-ALE is an ALE/AQC-ALE modem/controller and 110A modem solution with FS-1052 DLP for BRD, ARQ and FTP.

A hardware 110A modem can be used with anything from a dumb ASCII RS-232 DTE ASYNC terminal and front panel setup (or RS-232 SYNC DTE terminal for certain application) to software specifically written to control the modem for Client/Server applications requiring DLP support for ARQ. Most hardware modems do not provide anything other than FEC, where as an Amateur TNC itself can provide for ARQ operation in its firmware, most hardware MIL-STD modems rely on software or dedicated messages terminals for ARQ operation. In addition, hardware modems use both front panel user selected setup and mode and mode parameter selection and as well as remote control. The remote control may be ASCII like commands or not and may be proprietary and or to a standard such as STANAG 5066 ANNEX E. The remote control and the data flow for message payload often require two separate serial ports when using PC software. For MARS applications the software to be written shall have to specifically support hardware modems for ARQ applications and even FEC if full remote control is desired.

The 110A PC Sound Device modems to date have been tightly integrated with the end application, however a Virtual MIL-STD modem similar in concept to the WINMOR Virtual TNC could be developed, which could run on the same PC as the user application or a separate PC platform. A Virtual MIL-STD modem and DLP support could then be added to existing client and server applications used by MARS while new client and server applications are developed.

To achieve ARQ operation with 110A modems, DLP application software rather than dumb terminal software is usually required for the command and control of the data modem, this is especially true for message traffic automation with HF e-mail. However some ALE transceivers with internal MIL-STD data modems offer some DLP capabilities without external software control. The current level of MARS 110A interoperability required is at the dumb terminal level and in certain cases encrypted terminal software applications for peer-to-peer communications with the customer.

Asynchronous vs. Synchronous

Stand alone MIL-STD Hardware Data Modems and HF SSB Tactical Radios with internal Data Modems have a number of benefits over the MIL-STD software modems, however they still require the proper HF SSB transceiver for their use and they have additional requirements when it comes to their use that software modems do not.

The transmission of data occurs in either the asynchronous or synchronous mode. In asynchronous data transmission, each character has a start and stop bit. The start bit prepares the data receiver to accept the character. The stop bit brings the data receiver back to the wait state. Synchronous data transmission eliminates the start and stop bits. This type of system typically uses a preamble (a known sequence of bits at the start of the message) to synchronize the receiver's internal clock and to alert the data receiver that a message is coming. Asynchronous systems eliminate the need for complex synchronization circuits, but at the cost of higher overhead than synchronous systems. With asynchronous systems the start and stop bits increase the length of the character from 8 bits (one byte) to 10 bits, a 25 percent increase.

For ARQ and Digital Voice use, the serial port connection to the hardware modem must be a Synchronous serial port from the computer for the required Transmit and Receive Clocks on the serial bus. As today's common PC's do not have Synchronous serial ports any longer and some don't even have Asynchronous serial ports, that means the use of a Synchronous serial port adapter that is USB, PCcard or Firewire based.

Most hardware modems support synchronous serial data interface is an EIA RS-232 standard DCE interface. Some support MIL-STD-188-114, EIA-422 (RS-422) can interoperate with interfaces designed to MIL-STD-188-114 but they are not identical. EIA-422 uses a nominal 0 to 5 volt signal while MIL-STD-188-114B uses a signal symmetric about 0 V. However the tolerance for common mode voltage in both specifications allows them to interoperate. RS-422 devices generally operate at +/- 6 VDC and are compatible with RS-232 devices. Receivers are very sensitive, capable of detecting Mark/Space states at +/- 0.4 VDC and operate with the same Ground potential differences as RS-422 and are generally compatible with MIL-STD 188-114, ITU V.10, and RS-232.

With non-ARQ operations, the Forward Error Correction (FEC) used with the various MIL-STD/STANAG waveforms in the current use offer a wide range of data rates to cope with a correspondingly wide range of SNR conditions. During fades, of course, more symbols may be lost than the FEC can correct, even though the average SNR suggests that the error rate should be manageable. Interleaving is therefore employed to spread burst errors over longer symbol sequences so that the resulting error density is suitable for FEC. However, as the connection to hardware modems for ARQ is a synchronous serial interface for ARQ, the end-to-end delay through the sending and receiving modems is at least two times the interleaver depth. Link turnaround times are at least twice that long, so ARQ systems use the shortest interleaver possible, which is SHORT on 110A and VERY SHORT on 110B.

HF TRANSCEIVER REQUIREMENTS

The 110A ST waveform puts more demand on the HF transceiver than does MT-63, PACTOR or WIMOR which were all designed to work within typical Amateur or Commercial HF radio 2.4Khz or less filtering. To achieve full performance with 110A the filtering requirements of STANAG 4203^[10] along with radio performance specifications detailed in MIL-STD-188-141C^[17] are referenced. In summation the notable items are:

- TXCO is recommended for long data transmissions.
- Radio bandpass of 3Khz is required where variations in attenuation at most are +/-2db and a Group Delay time over 80% of passband must not be more than .5ms.
- AGC time constant must be less than 10ms on desensitization and less than 25ms on resensitization for full ST performance.

The 110A ST waveform uses an 1800hz PSK Carrier requiring a sample clock accuracy of 1 Part Per Million (ppm). The carrier is modulated by a fixed 2400bps Symbol Rate resulting in a passband of 300-3300hz. As the Symbol Rate is fixed at 2400bps, the passband never changes regardless of the user selected data rate, thus radios with lower than 3Khz IF BW will not be able to obtain the full range of user throughput data rate selections.

Some 110A modems allow for the use of a non-standard 1650hz or 1500hz ST PSK carrier where all stations in the net use the non-standard carrier or where a station with a less than 3Khz IF filter can tune off dial frequency by 300hz to move the signal lower into the passband of their radio while operating data. As most Amateur Grade radios have only a 2.4Khz or 2.7Khz SSB filter, the 1500hz carrier allows moving further down into the passband of the radios filter. However the use of a 1500hz carrier makes mixed Data/Voice channel communications more complicated.

WAVEFORMS

MIL-STD-188-110A

Detailed in MIL-STD-188-110A section 5.3.1.1 as the 110A ASYNC serial tone waveform, this is the same waveform detailed in FED-STD-1052. This waveform is the baseline for all other U.S. Military and Standard NATO waveforms. The serial tone, M-ary Phase Shift Keying modulated waveforms on a single carrier frequency are designed for data rates from 75bps up to 2400bps with convolutional coding (Coded) and 4800bps in an uncoded form (Uncoded). The 8-ary appearing PSK modulation of an 1800hz PSK carrier is at a constant symbol rate or 2400 symbols per second regardless of the data rate selected for throughput.

The 75bps Robust data rate uses a Direct Sequence Spread Spectrum (DSSS) scheme where a low data rate signal is modulated with a high rate pseudorandom sequence producing a 3Khz signal with a small amount of noise for the conventionally modulated signal. The 110A actual user selectable data rates are Coded Walsh at 75bps and PSK at 150, 300, 600, 1200 and 2400bps and Uncoded PSK at 4800bps.

Data Rate	Interleaver	FEC Encoding	Data Modulation
75 bps	0.6 or 4.8 sec	$\frac{1}{2}$	Multiple PSK symbols per channel symbol
150 bps	0.6 or 4.8 sec	$\frac{1}{2}$	2-ary PSK scrambled to appear 8-ary
300 bps	0.6 or 4.8 sec	$\frac{1}{2}$	2-ary PSK scrambled to appear 8-ary
600 bps	0.6 or 4.8 sec	$\frac{1}{2}$	2-ary PSK scrambled to appear 8-ary
1200 bps	0.6 or 4.8 sec	$\frac{1}{2}$	4-ary PSK scrambled to appear 8-ary
2400 bps	0.6 or 4.8 sec	$\frac{1}{2}$	8-ary PSK scrambled to appear 8-ary
4800 bps	0 sec	None	8-ary PSK scrambled to appear 8-ary

The Forward Error Correction (FEC) in conjunction with the wide range of data rates copes with a correspondingly wide range of SNR conditions. During fades, of course, more symbols may be lost than the FEC can correct, even though the average SNR suggests that the error rate should be manageable. Thus interleaving is therefore employed to spread burst errors over longer symbol sequences so that the resulting error density is suitable for FEC. Interleaver selections are Short or Long and Zero, where Long provides for the best error rate performance in poor channel conditions. Interleaving is a method of taking data packets, chopping them up into smaller bits and then rearranging them so that once contiguous data is now spaced further apart into a non-continuous stream and more immune to channel disturbances. Data packets are re-assembled by the modem when received and FEC is applied to deal with any data lost. The Short vs. Long is the depth to which the data is rearranged and the time it takes to rearrange and re-assemble which affects the throughput of the data transmission in addition to the data rate selected.

Data Rate	Modulation scheme	Coding
75	Walsh	$1/2$
150	2-PSK	$1/8$
300	2-PSK	$1/4$
600	2-PSK	$1/2$
1200	4-PSK	$1/2$
2400	8-PSK	$1/2$
4800	8-PSK	None

Modems designed to meet 110A must meet error correction requirements of $\pm 75\text{Hz}$ @ $\pm 3.5\text{Hz/sec}$ slope on channels presenting up to 5 milliseconds of Multipath and 5Hz of Doppler spread. At the constant symbol rate of 2400bps modulation known data symbols are periodically inserted into the data stream to aid in the estimation of channel characteristics to allow the receiving modem to adaptively equalize for channel degradation.

The 110A standard uses the concept of “auto-baud” for its waveforms. This feature embeds known information (a constant pattern defined in the modem standard) into the waveforms preamble about its data rate and interleaver depth. The modems can then automatically detect the characteristics of the incoming waveform rather than having to be preset with the waveform type being used as with MT-63. However this preamble negotiation only happens on the initial radio

link and it is not re-negotiated during data transfer in basic 110A operation. Thus the link could be lost on a channel operated over a period of time with ever degrading channel conditions. There is also “known” or “probe” blocks sent after the preamble which provide 1/100th of the amount of data symbols as does the preamble to equalize on to maintain sync or to attempt to sync on when coming on frequency late if the modem possesses “Acquisition on Data”, a.k.a. “Sync on Data” or “Late Acquisition” capabilities.

The “unknown” or “data” portion of the waveform containing the data payload or message, is Forward Error Corrected (FEC) to produce error protection on the data stream. Lower data rates generally have higher levels of FEC.

With the addition of Data Link Protocol software controlling the modem, ARQ operation can be implemented, such as using FED-STD-1052 Appendix B, where the modem’s parameters can be adapted to meet changing channel conditions in ARQ operation when modem settings can be changed for TX automatically and independently on each side of the connection.

MIL-STD-188-110B

MIL-STD-188-110B includes the same ST performance requirements as MIL-STD-188-110A regarding the 75-4800bps data rates. However the 110B standard adds Appendix C (nearly the same as STANAG 4539 Annex E.) with high speed data rates of 3200, 6400, 4800 (replacing 110A uncoded), 8000 and 9600bps coded and 12800bps uncoded. The ST waveforms specified in this appendix use modulation techniques of greater complexity and data blocks larger than those found in 110B section 5.3.2 and 110A section 5.3.1.1 in order to achieve the efficiencies necessary to obtain the required data rates. These waveforms are both Autobaud and preamble reinserting during transmission to maintain sync.

Data Rate	Modulation scheme	Coding
75	Walsh	1/2
150	2-PSK	1/8
300	2-PSK	1/4
600	2-PSK	1/2
1200	4-PSK	1/2
2400	8-PSK	1/2
3200	4-PSK	3/4
4800	8-PSK	3/4
6400	16-QAM	3/4
8000	32-QAM	3/4
9600	64-QAM	3/4
12800	64-QAM	None

Modulation types added are Quadrature Phase-Shift Keying (QPSK) used at the 3200bps data rate which is scrambled to appear on-air as an 8PSK constellation. In addition Quadrature Amplitude Modulation (QAM) techniques were added where 16QAM is used at 6400bps, 32QAM is used at 8000bps and 64QAM is used at 9600 and 12800bps. The 4800bps data rate uses 8PSK.

The symbol rate for all symbols shall be 2400 symbols-per-second. The subcarrier (or pair of quadrature sub-carriers in the case of QAM) are centered at 1800 Hz and accurate to a minimum of 0.018 Hz (10 ppm). The phase of the Quadrature sub-carrier relative to the In-phase carrier shall be 90 degrees. The correct relationship is achieved by making the In-phase sub-carrier $\cos(1800 \text{ Hz})$ and the Quadrature sub-carrier $-\sin(1800 \text{ Hz})$.

The QAM constellations specified in this appendix are more sensitive to equipment variations than the PSK constellations specified in 110B section 5.3.2 (and 110A). Because of this sensitivity, radio filters will have a significant impact on the performance of modems implementing the waveforms in 110B Appendix C. In addition, because of the level sensitive nature of the QAM constellations, turn-on transients, AGC, and ALC can cause significant performance degradation, AGC SLOW must be used. In addition the standard recommends that modems implementing the waveforms in this appendix should include a variable pre-key feature, by which the user can specify a delay between the time when the transmitter is keyed and the modem signal begins. This allows for turn-on transient settling, which is particularly important for legacy radio equipment.

The Interleaver choices are Ultra Short (US), Very Short (VS), Short (S), Medium (M), Long (L) and Very Long (VL). Since the minimum interleaver length spans a single data frame, there is no option of zero interleaving, since the time delays would not be reduced.

These 110B High Speed waveforms are the limit for single 3Khz channel operation which is the current configuration of MARS FAU's. The use of these waveforms will require radios that have TXCO's and 3Khz filters and both accurate and stable PC Sound Devices for modems.

STANAG 4285

S4285 is specified in MIL-STD-188-110A section 5.3.1.3. The S4285 waveform uses an 1800hz PSK carrier and 2400bps Symbol Rate as does 110A. This waveform does not provide an autobaud capability and both transmitter and receiver must be set to the same data rate and interleaver settings before transmission begins, as is the case with MT-63.

The 110A waveform uses a block interleaver where the rearrangement of bits is performed on a whole block of data at a time whereas S4285 uses a convolutional interleaver. 4285 has a short 80 symbol preamble versus 110A however it is inserted every 106.7 msec (every 256 symbols) thus providing many opportunities to acquire sync which is very useful for broadcast applications. However the overhead for the reinserted preamble results in lower effective data rates and weaker FEC as well as limiting its usefulness for ARQ. Signal quality can be assessed from the number of errors being detected in the 80-bit preamble sequence and from a Mean Viterbi Confidence (MVC) algorithm.

S4285 selectable data rates are Coded PSK at 75, 150, 300, 600, 1200 and 2400bps and Uncoded PSK 1200, 1800, 2400 and 3600bps. Interleaver selections are Short or Long and Zero.

S4285 (and its sub mode STANAG 4481-PSK) is used by NATO at 300bps for the NATO BRASS Naval Broadcast over wide coverage area due to its repeated preamble for maintaining sync which makes it a prime candidate for MARS broadcast use.

STANAG 4415

S4415 is the “NATO Robust Waveform” that works down to -6db SNR @ 3Khz AWGN heavy multipath/fading channel using a direct sequence spread spectrum waveform at 75bps using a 2400bps Symbol Rate. Interleaver selections are Short or Long and Zero.

The same basic waveform is also defined for 75bps in 110A (2db SNR @ 3khz AWGN 5ms multipath and 5hz fading channel), which is interoperable with S4415. However the performance requirements are much stricter in S4415 (i.e., the two standards describe the same transmitted waveforms, but S4415 requires the receiver to perform well under more severe channel conditions) and thus using S4415 on both ends is required for the full robust benefits.

This very robust HF data waveform will operate effectively almost 10 dB below the noise floor in a noise dominated environment, nearly 40 dB below the level of a tonal interferer, and tolerate extremes of delay and Doppler spreading.

The difference between 110A 75bps and S4415 are that there are no known symbols except for an initial synchronization preamble, and that the code bits are modulated by orthogonal Walsh functions. A different set of Walsh functions is used for the last Walsh symbol in each interleaver block for synchronization purposes. A convolutional encoder (as in S4285) provides a code rate of $R_c=1/2$ For every 2 code bits are generated 32 BPSK channel symbols, called one Walsh symbol. This means that the number of code bits per channel symbol is $Q = 2/32 = 1/16$. Because there are no training symbols, the frame pattern efficiency is $R_f = 1$, and we can verify that the information data rate is $f_a = R_c Q R_f f_s = 75 \text{ bps}$.

STANAG 4529

The S4529 waveform is Narrowband Version of 4285 Single Tone Appendix A. S4529 was developed for use in narrower 1240Hz NATO Naval channels. The narrower occupied bandwidth is achieved by reducing the baud rate of the S4285 waveform from 2400bps to 1200bps and changing the filtering to reduce the occupied bandwidth. The PSK carrier is selectable in 100Hz steps from 800Hz to 2400Hz, with a default value of 1700Hz. This waveform would easily support full throughput with all MARS members radios IF filters regardless of the selected PSK carrier. As with S4285, the modem transmitter and receiver must be set to the same data rate and interleaver settings S4529 is not autobaud.

Coded PSK 75, 150, 300, 600, 1200bps and Uncoded PSK 600, 1200, 1800bps/Interleaver selections are Short or Long and Zero.

STANAG 4538

STANAG 4538 (and MIL-STD-188-141B Appendix C) defines an Automatic Radio Control System (ARCS), basically what is know as 3G ALE. An ARCS is designed to establish quickly and efficiently one-to-one and one-to-many (broadcast and multicast) links. It supports trunked-mode operation (separate calling and traffic channels) as well as sharing any subset of the frequency pool between calling and traffic. It uses a specialized carrier-sense-multiple-

access (CSMA) scheme for channel access control, and regularly monitors traffic channels to avoid interference.

3G ALE is based on efficient ARQ data link protocols using six robust 2400bps symbol rate serial tone modem waveforms which is the focus of interest within this document Burst Waveforms (BW) known as BW0-BW5 which are optimized for the data link protocols. These properties of 3G ALE provide for an Automatic Link Management (ALM) system and is heavily used in support of both STANAG 5066 networks and Tactical Chat point-to-point communications. The Alternate Quick Call (AQC) ALE mode of 2G ALE uses the BW2 waveform for its optional burst mode.

The S4538 data link protocol is an ARQ protocol which can only be run in a point-to-point data packet connection. The difference in robustness between HDL and LDL as detailed later herein, is the result of the different waveforms which are used. The data link protocol is closely associated with the burst waveforms defined in the standard. There are six Burst Waveforms (BW) defined which are used in different aspects of the protocol:

- BW0 for Robust Link Set Up
- BW1 for management traffic and HDL ACK
- BW2 for HDL traffic
- BW3 for LDL traffic
- BW4 for LDL ACK
- BW5 for Fast Link Set Up (Note: Does not exist in 141B)

The six waveforms have different characteristics in terms of data rate, interleaving, frame pattern and synchronization which provides for different degrees of robustness and application. The ACK signals use the most robust waveforms along with link being more robust than the traffic waveforms, which means it may be impossible to pass the payload after a link if channel conditions are poor enough.

Prob Link Success	Gaussian	ITU-R	ITU-R
		F.250-2 Good	F.250-2 Poor
25%	-10	-8	-6
50%	-9	-6	-3
85%	-8	-3	0
95%	-7	1	3

The HDL BW2 waveform must have positive SNR values to work, while the LDL BW3 can handle an SNR down to -5dB AWGN channel. The data rates, symbol patterns and interleaving of a burst varies for the different waveforms as follows:

- BW2, 767-4409bps, 32 symbol data and 16 symbol known probe frame pattern using 8PSK, variable 0.96s - 6.93s block interleaving,
- BW3, 219-573bps, 16ary orthogonal Walsh function frame pattern like S4415 using 8PSK, 0.6s / 4.8s block interleaver selections,

In 3G ALE all stations in the network are equipped with accurate clocks (referenced to GPS and other time servers) and perform synchronous scanning of a set of pre-assigned frequencies based on their clocks. All stations change frequency simultaneously, and the current dwell channel of every station is always known, enabling very rapid linking where there are no need for ALE Soundings due to the synchronous scanning, however the protocol and packet format are defined in S4538 for use when Link Quality Assessment (LQA) would be useful. For example, when in scanning mode, 3G ALE stations shall also be able to detect 2G ALE calls from MIL-STD-188-141A based systems and respond.

One of the functions of the subnetwork layer is translation of upper-layer addresses (e.g., IP addresses) into whatever peculiar addressing scheme the local subnet uses. The addresses used in 3G ALE protocol data units (PDUs) are 11-bit binary numbers. In a network operating in synchronous mode, these addresses are partitioned into a 5-bit dwell group number and a 6-bit member number within that dwell group. Up to 32 dwell groups of up to 60 members each are supported (1920 stations per net). Four additional unassignable addresses in each group (1111xx) are available for temporary use by stations calling into the network. When it is desired to be able to reach all network members with a single call, and traffic on the network is expected to be light, up to 60 network member stations may be assigned to the same dwell group. However, this arrangement does not take full advantage of the 3G calling channel congestion avoidance techniques. To support heavier call volume than the single group scheme will support, the network members should be distributed into multiple dwell groups. This results in spreading simultaneous calls more evenly over the available frequencies.

STANAG 4539

STANAG 4539 and MIL-STD-188-110B differ in some areas but are substantially the same and interoperable. S4539 uses S4415 requirements at 75bps and MS110A requirements for 150-2400bps. However the performance requirements above 2400bps are higher for STANAG 4539 than for 110B Appendix C. Thus S4539 and 110B can communicate with each other, but a modem in compliance with 110B Appendix C does not necessarily exhibit as good communication performance as a S4539 modem.

DATA LINK PROTOCOLS

For Error Free delivery Automatic Repeat Request (ARQ) control is made of the FEC waveforms, however the error free delivery provided by ARQ protocols comes at the cost of variable delays due to any required retransmissions thus decreasing throughput.

FED-STD-1052B DLP

FED-STD-1052 is basically the equivalent of MIL-STD-188-110A just as FED-STD-1045A is basically the equivalent of MIL-STD-188-141A when it comes to ALE. However FED-STD 1052 Appendix B specifies a Data Link Protocol layer that is not included in MIL-STD-188-110A. The DLP supports a data link layer protocol as defined by the International Organization for

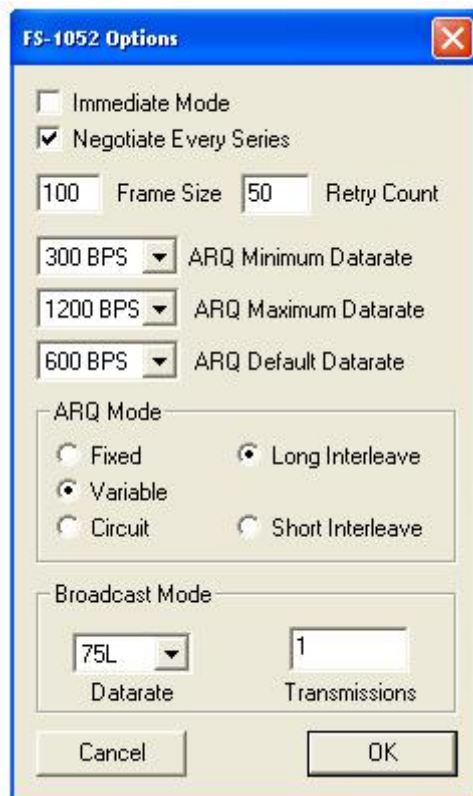
Standardization (ISO) network reference model. This protocol, when used in conjunction with an appropriate modem, provides a method for transmitting error-free data over an HF radio circuit. The DLP provides the functionality required to support a data link service defined in ISO/IEC 8886.3 for Open Systems Interconnection (OSI) compatibility.

The DLP protocol includes the possibility of changing data rate, such that if the channel conditions can support a data rate higher than the one currently used, the data rate may be increased. And similarly, if almost all packets fail because the data rate is too high, the data rate may be decreased. In this way, the data rate is adapted to changing channel conditions in ARQ.

There are three modes of FS-1052 DLP operation:

1. **ARQ:** The primary mode of operation (mandatory) is the automatic repeat request (ARQ) mode, which provides for basic go-back-N ARQ error-free point-to-point data transfer. One alternative of this mode uses fixed-length control frames and a minimum of link reversals. The other alternative provides additional functionality and flexibility by employing variable length control frames. Both alternatives employ a control frame acknowledgment scheme. The ARQ protocol is Adaptive, which is to say that it includes the possibility of changing the data rate, such that if the channel conditions can support a data rate higher than the one currently used, the data rate may be increased, the shortest interleave setting for the given waveform being used is normally used. Similarly, if almost all packets fail because the data rate is too high, the data rate may be decreased. In this way, the data rate is adapted to changing channel conditions. The data rate can be different for both sides of the connection depending on the changing channel conditions.
2. **BRD:** A secondary mode of operation (mandatory) is the Broadcast (non-ARQ) mode. The Broadcast mode allows unidirectional data transfer using fixed-length frames to multiple (as well as to single) receivers. No transmissions from the receiving terminal are desired or required.
3. **CIRCUIT:** The other secondary mode, the Circuit mode (optional), allows a link to be established and maintained in the absence of traffic. The ARQ variable-length frame protocol is used along with a technique to maintain the data link connection in the absence of user data.

When Adaptive ARQ is used, the educated user selection of the data rate required with FEC use for serial tone waveforms is taken out of the equation. A starting data rate as configured is used, say 600bps and based on ACK/NAK exchanges the data rate is ramped up and down on each side, where both stations may remain at the starting data rate or both may go lower or higher or one may stay at the starting data rate and the other may go higher or lower or the two stations may go in opposite directions where one may end up sending at the lowest configured data rate and the other may end up sending at the highest configured data rate. As an example of configuration see the screen cap below from the MARS-LP-ALE tool, the data rate selections start off with 600bps and will never go lower than 300bps and never go higher than 1200bps as configured using 1052-ARQ and the 110A modem. 1052-ARQ is limited to 2400bps max whether 110A or 110B, 5066-ARQ detailed below has no such limitation.



STANAG 5066

5066-ARQ

The full STANAG 5066 standard describes a general purpose, open and interoperable sub network protocol stack for data communications over HF radio and beyond the scope of this document. However, as overview of STANAG 5066, it provides data transfer using ARQ as well as non-ARQ point-to-point, broadcast or multicast data transfer.

In MIL-STD-188-110B, Appendix E specifies the optional DLP in E.4.2, “Channel access protocol as specified in STANAG 5066 Annex B.” and E.4.3, “Data Transfer Protocol as specified in STANAG 5066 Annex C”. These two annexes of STANAG 5066 describe the Sub Network Interface operating in ARQ mode after data link setup, are collectively denoted as 5066-ARQ in military circles. 5066-ARQ can be used with 110A, 110B or 110C waveforms and some STANAG waveforms.

5066-ARQ which is depicted below in the 5066 Protocol Stack as the first layer above the HF modem, is the second generation NATO data link protocol, as described in Annex C of STANAG 5066. 5066-ARQ is a Selective Repeat ARQ protocol, with some special features such as an end-of-transmission announcement to simplify link turnaround timing, meaning that only the packets in error are retransmitted and not all packets after the error, as in the case of the basic go-back-N ARQ of FS-1052 Appendix B. In addition to the Selective ARQ and Non-ARQ services provided to the upper sublayers, the Data Transfer Sublayer shall provide an Idle Repeat Request service for peer-to-peer communication with the Data Transfer Sublayer of other nodes which supports Multi-casting.

A special mode of the non-ARQ service shall (3) be available to reconstruct C_PDUs from D_PDUs in error and deliver them to the Channel Access Sublayer.

In the non ARQ mode, the following sub modes may be specified:

- regular data service.
- expedited data service.
- “in order” delivery of C_PDUs is not guaranteed.
- delivery of complete or error free C_PDUs is not guaranteed.

The NRQ protocol shall only operate in a simplex mode since the local node, after sending Information Frames (I-frames), does not wait for an indication from the remote node as to whether or not the I-frames were correctly received. Multiple repetitions of I-frames can be transmitted in order to increase the likelihood of reception under poor channel conditions, in accordance with the requested service characteristics.

STANAG 4538 and MIL-STD-188-141B

LOW-LATENCY DATA LINK

Low-latency Data Link (LDL) protocol as detailed in MIL-STD-188-141B^[18] Appendix C and STANAG 4538^[19] is a stop-and-wait ARQ protocol tightly integrated with a very robust burst BW2 (of the BW0-BW5 waveforms available) serial tone modem waveform with the data rate and **interleaver settings of S4285**. It uses code combining to dynamically adapt FEC coded data rate frame-by frame and provides a useful throughput at -10 dB SNR. LDL is optimized for delivering small datagrams in all channel conditions and also longer datagrams in poor channel conditions for broadcast and multicast tactical chat applications over STANAG 5066 sub nets using ACP-142(A)^[20] P_MUL protocol.

P_MUL, as a reliable multicast protocol, requires an underlying connectionless network infrastructure with multicast routing functionality. The P_MUL protocol may be understood as a transport layer protocol. P_MUL utilizes lower layer protocols to transmit its PDUs (Protocol Data Units) over a multicast network. The non-responsive mode is also known as emission control or radio silence, where the receivers are incapable of or disallowed to provide any feedback. That aside, different messages may have different priorities and different characteristics. All these factors constrain the design of an efficient error recovery scheme for P Mul. Military networks find multicast an ideal tool to match the needs of an all-informed subnetwork for bandwidth efficient communications for strategic and tactical messaging where users under radio silence need not respond for hours or days yet can still get resends at that later time.

HIGH-THROUGHPUT DATA LINK

High-throughput Data Link (HDL) protocol as detailed in MIL-STD-188-141B^[18] and STANAG 4538^[19] is a selective repeat ARQ protocol, tightly integrated with a code-combining burst BW3 (of the BW0-BW5 waveforms available) serial tone modem waveform with the data rate and interleaver settings of S4285 that emphasizes high throughput rather than low-SNR performance. HDL is optimized for delivering large datagrams in medium to good channel conditions for broadcast and multicast tactical chat applications over STANAG 5066 sub nets using ACP-142(A) P-Mul protocol same as with Low-latency Data Link (LDL) protocol.

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- [20] ACP-142(A) - P_MUL - A PROTOCOL FOR RELIABLE MULTICAST IN BANDWIDTH CONSTRAINED AND DELAYED ACKNOWLEDGEMENT (EMCON) ENVIRONMENTS, October 2008

NOTE: Many of the above referenced documents can be found at many places on the Internet, to include:

<https://assist.daps.dla.mil/quicksearch/>

<http://groups.yahoo.com/group/MARS-ALE/files/>

ABBREVIATIONS

AGC - Automatic Gain Control
ALC - Automatic Level Control
ALE - Automatic Link Establishment
AQC-ALE - Alternate Quick Call ALE
ARQ - Automatic Repeat Request
AWGN - Additive White Gaussian Noise
BER - Bit Error Rate
bps - bits per second
BRD - Broadcast
BW - Bandwidth
CPU - Central Processing Unit
DLP - Data Link Protocol
DPSK - differential phase shift keying
DSSS - Direct Sequence Spread Spectrum
dB - Decibels
DSP - Digital Signal Processor
FEC - Forward Error-Correction
FED-STD – U.S. Federal Standard
FTP - File Transfer Protocol
HF - High Frequency (referring to radio waves 2-28 MHz for normal MARS operations)
Hz - Hertz
HTML - Hyper Text Message Language
ISB - Independent Single Sideband
Khz - Kilohertz
MIL-STD - U.S. Military Standard
NATO - North Atlantic Treaty Organization
PSK - phase shift keyed
QAM - quadrature amplitude modulation
QDPSK - quadrature differential phase shift keying
QPSK - quaternary phase shift keyed
ST - Serial Single Tone
STANAG - Standard NATO
TNC - Terminal Node Controller
TXCO - Crystal Controlled Oscillator

APPENDIX A

Data Rate and Interleaver Selection

S4415 which only supports one data rate makes life simpler for the user and provides very robust operation under all channel conditions but it is slow and thus not the best choice if the channel supports faster data rates. Other STANAG FEC modes such as S4285 and S4529 which are not autobaud compliant require that both the TX and RX stations to be configured the to the same data rate and interleave settings in advance and do not support Adaptive ARQ well not being autobaud and due to their constant long re-sync known data transmissions during the payload, that later feature of which makes them better suited modes for FEC Broadcast applications.

As 110A is autobaud compliant, thus such settings are only required on the transmit side and thus bi-lateral data rate selections are also supported in that both stations can be at different data rates and interleaver settings. However, unlike Adaptive ARQ operation where thanks to 110A only sends short known data probes during the payload and provides autobaud support, software controls data rate via selection based on channel conditions automatically and where SHORT interleave is normally used, the user must select the data rate and interleave for FEC operation based on channel conditions manually.

In adaptive ARQ operation the linking call will usually start at 600bps and based on SNR and BER data exchanged ramp up or down or if no link is established ramp down to attempt a re-link and will continue to base parameter changes on ongoing SNR and BER readings where each side can be working at the same settings or completely opposite sides of the data rate settings spectrum.

The use of serial tone modem waveforms in FEC modes by stations in attended operation, unlike in unattended guard channel operations permits a voice exchange to determine receive conditions on both ends, this holds true for Regional Broadcast use as well, as the NCS or directed sending station can poll the net for signal report. In two-way use of 110A serial tone FEC modes both stations can send at the same or different data rate and Interleaver settings, where it is best to just make use of the LONG interleave setting to deal with any channel issues and minimum performance characteristics of the waveform data rates. For guard channel operations the broadcast station can only take into account TOD propagation characteristics for the wavelength being used, seasonal effects and minimum performance characteristics of the waveform data rates, thus 75-300bps should be used for CONUS wide or OCONUS broadcasts and 75-600bps for regional broadcasts.

Most MARS-to-MARS peer-to-peer and regional broadcast communications takes place within 2-12Mhz NVIS where the 3-7Mhz range sees the most use and where the 3 and 4Mhz range sees the bulk of the use and which has the highest noise levels and fading conditions next to 2Mhz. As such the recommended Interleaver setting is always LONG. Data rates beyond 600bps will not yield reliable good results even if one has an S4203 compliant radio system and hardware modem unless very good to excellent channel conditions exist, which can be determined if two-way contact with the audience stations is part of the scenario.

Below are sections from both MIL-STD-188-110B pertaining to 110A ASYNC and from STNANAG 4415 pertaining to S4415 regarding Performance Requirements taking into account

the use of an S4203 compliant HF radio. Then further below is information regarding the calibration of S-meters which combined should give all users of 110A ASYNC an idea of how to best select the data rate and in consideration of prevailing an possibly changing channel conditions.

MIL-STD-188-110B

5.3.2.5 Performance requirements.

The measured performance of the serial (single-tone) mode, using fixed-frequency operation and employing the maximum interleaving period, shall be equal to or better than the coded BER performance in table XX. Performance verification shall be tested using a baseband HF simulator patterned after the Watterson Model in accordance with International Telecommunications Union (ITU) Recommendation ITU-R F.520-2. The modeled multipath spread values and fading (two sigma) bandwidth (BW) values in table XX shall consist of two independent but equal average power Rayleigh paths. For frequency-hopping operation, an additional 2 dB in signal-to-noise ratio (SNR) shall be allowed.

TABLE XX. Serial (single-tone) mode minimum performance.

User bit rate	Channel Paths	Multipath (ms)	Fading (Note 1) BW (Hz)	SNR (Note 2) (dB)	Coded BER
4800	1 Fixed	-	-	17	1.0 E-3
4800	2 Fading	2	0.5	27	1.0 E-3
2400	1 Fixed	-	-	10	1.0 E-5
2400	2 Fading	2	1	18	1.0 E-5
2400	2 Fading	2	5	30	1.0 E-3
2400	2 Fading	5	1	30	1.0 E-5
1200	2 Fading	2	1	11	1.0 E-5
600	2 Fading	2	1	7	1.0 E-5
300	2 Fading	5	5	7	1.0 E-5
150	2 Fading	5	5	5	1.0 E-5
75	2 Fading	5	5	2	1.0 E-5

NOTES:

1. Per ITU-R F520-2.
2. Both signal and noise powers are measured in a 3-kHz bandwidth.

STANAG 4415 Edition 1, Annex A

MINIMUM REQUIRED PERFORMANCE

24. The performance specified in the following paragraphs is required when the modem is operating in the long interleaver mode. The HF simulator used shall be in accordance with CCIR Report 549-3. Doppler spread shall be Gaussian and specified as a 2 Sigma power bandwidth. Signal and noise powers shall be measured in a 3 kHz bandwidth.

25. Single Path, non-fading - The modem shall achieve a $BER < 10^{-3}$ at -9.00 dB SNR (3kHz) in an additive white Gaussian noise environment.

26. Dual Path, Multipath delay = 10.0ms. - The modem shall achieve a $BER < 10^{-4}$ at the following SNRs (3kHz).

Doppler Spread (both paths) (Hz)	Required SNR[dB] to achieve 10^{-4} BER
0.5	0.0
1.0	-1.0
2.0	-1.0
5.0	-1.0
10.0	-1.0
20.0	-1.0
30.0	-1.0
40.0	-0.5
50.0	0.0

Table 3.1 - Fading Multipath Performance

27. Delay Spread Tolerance - The modem shall be capable of achieving synchronisation and providing BER of less than 10^{-5} for multipath delay spreads up to 10 milliseconds in a 0 dB SNR channel with Doppler spreads of 2 Hz and 20 Hz.

28. Interference Tolerance - Table 3.2 specifies Signal to Interference Ratio (SIR) that shall be accommodated by the modem while maintaining a BER of 10^{-4} for several different types of interference. In order to obtain the stated performance it may be necessary to implement excision filters in the demodulator.

The signal to noise ratio (SNR) is defined as the ratio between the signal and noise levels, and is usually expressed in decibels (dB). 0 dB means the ratio is 1, the signal and noise power levels are the same. a 10 dB SNR means the signal power is 10 times the noise power, 20 dB means the signal is 100 times (it is a log based scale). These are for power values, for voltage ratios the SNR is twice the power value. A SNR of 0 dB would just be barely detectable, in practice you need a few dBs for even a weak signal, and a SNR of 30 or 40 dB is considered an excellent quality signal.

For a correlation to MIL-STD-188-110B 5.3.2.5 Performance requirements. TABLE XX. In terms of S meter reading only, the International Amateur Radio Union (IARU) Region 1 agreed on a technical recommendation for S Meter calibration for HF and VHF/UHF transceivers in 1981. IARU Region 1 Technical Recommendation R.1 defines S9 for the HF bands to be a receiver input power of -73 dBm. This is a level of 50 microvolts at the receiver's antenna input assuming the input impedance of the receiver is 50 ohms.

S-reading	HF		Signal Generator emf
	μV (50 Ω)	dBm	dB above 1 μV
S9+10dB	160.0	-63	44
S9	50.2	-73	34
S8	25.1	-79	28
S7	12.6	-85	22
S6	6.3	-91	16
S5	3.2	-97	10
S4	1.6	-103	4
S3	0.8	-109	-2
S2	0.4	-115	-8
S1	0.2	-121	-14

APPENDIX B

MIL-STD-188-110x

Software available and supported at
<http://groups.yahoo.com/group/MARS-ALE/>

MARS-M110A: The M110A Data Message Terminal (DMT) started life as a test bed tool for development and testing of the MIL-STD-188-110A modem core used in it, PC-ALE and MARS-ALE. It is now being developed into a full featured application for the needs of MARS. At present it provides for MIL-STD-188-110A modes from 75bps through 2400bps coded and 4800bps uncoded and meets the modem requirements of standard section 5.3.1.1.

MARS-ALE: The MARS-ALE toolset contains an integrated MIL-STD-188-110A modem to section 5.3.1.1 and FED-STD-1052 Appendix B, Data Link Protocol (DLP) controller. The DLP only is available for use with the MIL-STD-188-110A modem, with all modes (ARQ, BRD, FTP) supported during an ALE inlink state and BRD supported when in MARS Immediate Link State (MILS). The DLP has never been verified for interoperability with any hardware system. Recently however for testing the MARS-M110A DMT tool, basic ASYNC has been added, but not fully coded beyond a basic useable level and not released for ALE follow on use.